



2418 Lee St.
Suite 130
Greenville, TX 75401
Phone: 469.933.1125

Blue Monarch Financial, Inc.

PRIVACY POLICY NOTICE

Reviewed and Revised 2.11.2026

Blue Monarch Financial, Inc has adopted this Privacy Policy Notice with recognition that protecting the privacy and security of the personal information we obtain about our Clients is an important responsibility. We also know that you expect us to serve you in an accurate and efficient manner. To do so, we must collect and maintain certain personal information about you. We want you to know what information we collect and how we use and safeguard that information.

WHAT INFORMATION WE COLLECT

We collect certain nonpublic personal identifying information about you (such as your name, address, social security number, etc.) from information that you provide on applications or other forms as well as communications (electronic, telephone, written or in person) with you or your authorized representatives (such as your attorney, accountant, etc.). We also collect information about your brokerage accounts and transactions (such as purchases, sales, account balances, inquiries, etc.).

WHAT INFORMATION WE DISCLOSE

We do not sell, share, or disclose your Non-Public Personal Information (“NPI”) to non-affiliated third-party financial companies. We will not disclose the NPI we collect about our Clients to anyone except as necessary as follows: (i) in furtherance of our business relationship with them and then only to those persons necessary to effect the transactions and provide the services that they authorize (such as broker-dealers, custodians, independent managers etc.); (ii) to persons assessing our compliance with industry standards (e.g., professional licensing authorities, etc.); (iii) our attorneys, accountants, and auditors; or (iv) as otherwise provided by law.

We are permitted by law to disclose the NPI about you to governmental agencies and other third-parties in certain circumstances (such as third parties that perform administrative or marketing services on our behalf or for joint marketing programs), which we do so in a limited capacity. These third parties are prohibited to use or share NPI for any other purpose. If you decide at some point to either terminate our services or become an inactive Client, we will continue to adhere to our Privacy Policy Notice, as may be amended from time to time.

SECURITY OF YOUR INFORMATION

We restrict access to your nonpublic personal information to those employees who need to know that information to service your account. We maintain physical, electronic and procedural safeguards that comply with applicable federal or state standards to protect your nonpublic personal information.

SMS TERMS AND CONDITIONS

By providing your mobile phone number, you consent to receive SMS messages from Blue Monarch Financial related to confirming appointments and client education information.

MESSAGE FREQUENCY

Message frequency may vary.

MESSAGE AND DATA RATES

Standard message and data rates may apply depending on your Cell Phone Carrier.

OPTING OUT

You may opt out of receiving SMS messages at any time by replying with "STOP" to any SMS message you receive from us. After opting out, you will receive a confirmation message, and we will cease sending SMS messages to your number.

HELP AND SUPPORT

If you need assistance or have questions about our SMS service, reply with "HELP" to any SMS message you receive, or contact our firm's Chief Compliance Officer, Brian Megenity, at brian@bluemonarchfinancial.com.

SMS PRIVACY POLICY

Your phone number will be handled in accordance with our Privacy Policy. As mentioned above, no mobile information will be shared with third parties/affiliates for marketing/promotional purposes. All the stated categories in this privacy policy exclude text messaging originator opt-in data and consent; this information will not be shared with any third parties.

CHANGES TO OUR PRIVACY POLICY OR RELATIONSHIP WITH YOU

Our Privacy Policy Notice about obtaining and disclosing information may change from time to time. We will provide you with notice of any material change to this Privacy Policy Notice before we implement the change.